

STERIC EFFECTS IN THE PHOTOREDUCTION OF ARYL ALKYL KETONES¹

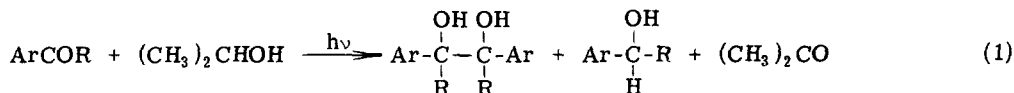
Frederick D. Lewis

Department of Chemistry
Northwestern University
Evanston, Illinois 60201

(Received in USA 2 February 1970; received in UK for publication 9 March 1970)

Although the photoreduction reactions of benzophenone,² acetophenone³ and their aryl-substituted derivatives have been extensively studied, the analogous reactions of α -substituted acetophenones remain largely uninvestigated. Pronounced steric effects have been observed for ground state reductions of aryl alkyl ketones.^{4,5} The rate of aryl alkyl ketone reduction by sodium borohydride is known to decrease with increasing α -substitution⁴ whereas the opposite trend is observed for hydrogenation on palladium.⁵ In view of the present lack of knowledge about steric effects on photoreductions and on photochemical reactions in general, it appeared interesting to investigate the photoreduction of a series of aryl alkyl ketones.

Photolysis of 1 - 3 in 2-propanol-benzene solvent resulted in the formation of acetone, a mixture of dl and meso pinacols, and the corresponding hydrol as the only detectable photo-products (eq 1). Quantum yields for product formation depend on the initial 2-propanol concentration, but in all cases $\Phi(\text{CH}_3)_2\text{CO} = \Phi_{\text{pinacol}} + \Phi_{\text{hydrol}}$ and the ratio of pinacol to hydrol



1, R = CH₃ 2, R = CH₂CH₃ 3, R = CH(CH₃)₂ 4, R = C(CH₃)₃

remains constant. Quantum yields determined in 2.0 M 2-propanol-benzene are given in Table I. In contrast to the behavior of 1 - 3, 4 gave no photoreduction products. The major product from 4 at low conversions is benzaldehyde ($\Phi = 0.13$), presumably formed by α -cleavage

TABLE 1. Quantum yields and kinetic data for aryl alkyl ketone photoreductions in 2-propanol-benzene.

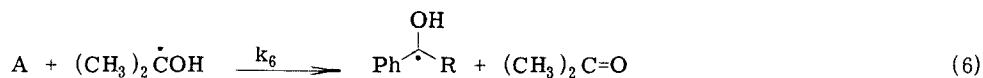
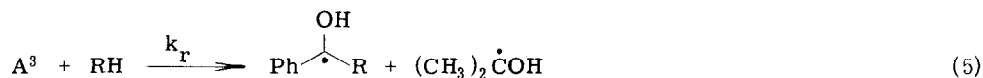
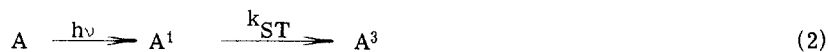
Ketone	$\phi(\text{CH}_3)_2\text{CO}^a$	ϕ_{pinacol}	ϕ_{hydrol}	$k_r \text{ M}^{-1} \text{ sec}^{-1}{}^b$
<u>1</u>	0.35	0.34	0.007	7.5×10^5
<u>2</u>	0.23	0.19	0.033	4.4×10^5
<u>3</u>	0.12	0.071	0.049	1.3×10^5

^aQuantum yield for acetone formation at 3130 Å from degassed solutions of 0.1 M ketone in 2.0 M 2-propanol-benzene using benzophenone-benzhydrol actinometry. Average of two or more determinations, at conversions of less than 10%.

^bRate constant for hydrogen abstraction obtained from linear Stern-Volmer plots using 1,3-pentadiene as quencher of acetone formation. k_q was taken as $5 \times 10^9 \text{ M}^{-1} \text{ sec}^{-1}$. Limits of error $\pm 10\%$.

followed by hydrogen abstraction. Prolonged photolysis of 4 is reported to give hydrobenzoin via photoreduction of the initially formed benzaldehyde.⁶

The mechanism for photoreduction of 1 - 3 (A) by 2-propanol (RH) in the presence of added quencher (Q) can be described by the following equations.



$$\frac{1}{\phi} = \frac{k_r[\text{RH}] + k_d}{k_r[\text{RH}]} + \frac{k_q[\text{Q}]}{k_r[\text{RH}]} \quad (9)$$

Standard Stern-Volmer analysis (eq 9) for the variation in $1/\phi$ with quencher concentration allows the calculation of k_r . Values for k_d obtained from the intercept of Stern-Volmer plots in 2 M 2-propanol-benzene are anomalously large due to the presence of a quenching impurity

TABLE 2. Quantum yields and kinetic data for aryl alkyl ketone photoreduction in 2 M 2,4-dimethyl-3-heptanol-benzene.

Ketone	ϕ	$k_r \text{ M}^{-1} \text{ sec}^{-1}$
<u>1</u>	0.185	2.8×10^5
<u>2</u>	0.130	2.5×10^5
<u>3</u>	0.055	0.6×10^5

in commercial 2-propanol.⁷ However, k_d values for 1 - 3 obtained from quenching plots in 0.1 M 2-propanol-benzene or from $1/\phi$ vs $1/[\text{RH}]$ plots are the same within the experimental error ($k_d = 3 \pm 1 \times 10^5 \text{ sec}^{-1}$) and are in agreement with the value for acetophenone obtained by phosphorescence decay measurements.⁸ It is seen that the quantum yield for acetone formation and rate constant for hydrogen abstraction (k_r) decrease with increasing α -substitution, whereas there is no corresponding increase in the rate constant for triplet decay (k_d). That is, the decrease in reactivity does not result from a shortened triplet lifetime. Furthermore, ketones 1 - 4 all exhibit essentially identical characteristic n, π^* emission spectra⁹ at 77° K in EPA glasses with all 0'-0 bands at $73.4 \pm 1.0 \text{ kcal/mole}$. Thus the decrease in the rate constant for hydrogen abstraction in going from 1 to 3 is probably due to neither a change in triplet energy nor an increase in the π, π^* character of the excited state.

The simplest and most attractive explanation for the observed structural effect is that increased steric hindrance makes abstraction of a hydrogen from 2-propanol increasingly more difficult. Further evidence for a steric requirement for hydrogen abstraction by aryl alkyl ketones was obtained by employing 2,4-dimethyl-3-heptanol as the hydrogen donor. The resulting quantum yields for 2,4-dimethyl-3-heptanone formation and rate constants for hydrogen abstraction given in Table 2 reflect a substantial decrease in reactivity when compared to the 2-propanol results. The marked decrease in reactivity is most likely due to the increased steric requirements of the alcohol.¹⁰

The formation of both pinacol and hydrol products from 1 - 3 deserves comment. If we assume that the hydrol is formed by disproportionation of the ketyl radical (eq 8) rather than by disproportionation of the originally formed radical pair (eq 5),¹¹ then the hydrol to pinacol ratio reflects the relative rate constants for ketyl radical disproportionation (k_8) and combination (k_7). The increase in k_8/k_7 in going from 1 - 3 therefore is indicative of the increasing steric requirements for pinacol formation from the initially formed ketyl radical.

The rate constant for α -cleavage of 4 was determined by Stern-Volmer analysis of the quenching of benzaldehyde formation by added piperylene. The value obtained, $k_{\alpha} = 2.3 \times 10^7 \text{ sec}^{-1}$, is two orders of magnitude greater than the rate constant for photoreduction of 3. Thus it is not surprising that photoreduction of 4 does not noticeably compete with α -cleavage. The predominance of α -cleavage from 4 is analogous to the results observed for t-butyl alkyl ketones.^{1,2}

REFERENCES

1. Acknowledgment is made to the donors of the Petroleum Research Fund, the Research Corporation and the Merck Foundation for support of this research.
2. (a) P. J. Wagner, Molecular Photochemistry, 1, 71 (1969); (b) N. C. Yang and R. L. Dusenbery, ibid., 1, 159 (1969).
3. (a) N. C. Yang and R. L. Dusenbery, J. Am. Chem. Soc., 90, 5899 (1968); (b) J. H. Stocker and D. H. Kern, J. Org. Chem., 33, 291 (1968); (c) A. Padwa, Tetrahedron Letters, 3465 (1964).
4. H. C. Brown and K. Ichikawa, J. Am. Chem. Soc., 84, 373 (1962).
5. H. Van Bekkum, A. Kieboom, and K. van de Putte, Rec. Trav. Chim. Pays-Bas, 88, 52 (1969).
6. T. Matsuura and Y. Kitaura, Tetrahedron, 25, 4487 (1969).
7. F. D. Lewis, unpublished results.
8. W. C. K. Clark, A. D. Litt, and C. Steel, Chem. Commun., 1087 (1969).
9. Employing the criteria used by D. R. Kearns and W. A. Case, J. Am. Chem. Soc., 88, 5087 (1966).
10. Small changes in the relative reactivities of several secondary alcohols toward photo-oxidation by benzenophenone have been reported. D. E. Pearson and M. Y. Moss, Tetrahedron Letters, 3791 (1967).
11. The justification for this assumption along with a detailed kinetic analysis of aryl alkyl ketone photoreductions will be presented in a full paper.
12. N. C. Yang and E. D. Feit, J. Am. Chem. Soc., 90, 504 (1968).